Original Research

Treating Nicotine Dependence by Targeting Attention-Deficit/ Hyperactivity Disorder (ADHD) With OROS Methylphenidate: The Role of Baseline ADHD Severity and Treatment Response

Edward V. Nunes, MD; Lirio S. Covey, PhD; Gregory Brigham, PhD; Mei-Chen Hu, PhD; Frances R. Levin, MD; Eugene C. Somoza, MD, PhD; and Theresa M. Winhusen, PhD

ABSTRACT

Objective: To determine whether treatment of attentiondeficit/hyperactivity disorder (ADHD) with osmotic-release oral system (OROS) methylphenidate promotes abstinence from smoking among smokers with ADHD who have greater severity of ADHD symptoms at baseline or greater improvement in ADHD during treatment.

Method: This is a secondary analysis of data from a randomized, double-blind, 11-week trial conducted between December 2005 and January 2008 at 6 clinical sites; the original trial was sponsored by the National Drug Abuse Clinical Trials Network. Adult cigarette smokers (aged 18–55 years) who met *DSM-IV* criteria for ADHD were randomly assigned to OROS methylphenidate (72 mg/d) (n = 127) or matching placebo (n = 128). All participants received nicotine patches (21 mg/d) and weekly individual smoking cessation counseling. Logistic regression was used to model prolonged abstinence from smoking (ascertained by self-report and breath carbon monoxide testing) as a function of treatment, baseline ADHD Rating Scale-IV (ADHD-RS) score, change in ADHD-RS score during treatment, and their interactions.

Results: Treatment interacted with both ADHD-RS score at baseline (P = .01) and change in ADHD-RS score during treatment (P = .008). Among patients with higher ADHD-RS scores (> 36) at baseline and the most improvement in ADHD during treatment (ADHD-RS change score \ge 24), 70.0% of those who took OROS methylphenidate achieved abstinence from smoking compared to 36.8% of those who took placebo (P = .02). In contrast, among patients with the lowest ADHD-RS baseline scores (\le 30), 30.3% of those who took OROS methylphenidate achieved abstinence from smoking compared to 36.8% of those who took OROS methylphenidate achieved abstinence from smoking compared to .20).

Conclusions: OROS methylphenidate, in combination with nicotine patch, may be an effective treatment for nicotine dependence among smokers with more severe ADHD and more robust response of ADHD symptoms to medication. OROS methylphenidate may be counterproductive among smokers with lower severity of ADHD.

Trial Registration: ClinicalTrials.gov identifier: NCT00253747

J Clin Psychiatry 2013;74(10):983–990 © Copyright 2013 Physicians Postgraduate Press, Inc.

Submitted: September 7, 2012; accepted February 11, 2013 (doi:10.4088/JCP.12m08155).

Corresponding author: Edward V. Nunes, MD, New York State Psychiatric Institute, 1051 Riverside Drive, Unit 51, Room 3717, New York, NY 10032 (nunesed@nyspi.columbia.edu). **S** ubstance use disorders are common, debilitating, and costly.^{1,2} Effective treatments exist,³ but treatment failure remains common, and alternative strategies are needed. One such strategy is to identify and treat co-occurring mental disorders,^{1,2} which may be linked to substance abuse through "self-medication"^{4,5} or shared neurobiological substrates.⁶ Studies that have examined treatment of mood or anxiety disorders co-occurring with substance use disorders have yielded a mixture of positive and null results.^{7–9}

In this context, the co-occurrence of nicotine dependence and attention-deficit/hyperactivity disorder (ADHD) seems a particularly promising therapeutic target. Nicotine dependence is the most common addictive disorder¹⁰ and, despite effective pharmacologic treatments (nicotine replacement products, bupropion, varenicline),¹¹ often runs a chronic, relapsing course.^{12,13} Attention-deficit/hyperactivity disorder is prevalent among people with nicotine dependence, has its onset in childhood, increases the chances of developing nicotine dependence,^{14,15} and reduces the likelihood of achieving remission.¹⁶⁻¹⁸ Early treatment of ADHD may reduce the risk of developing nicotine dependence.¹⁹ Nicotine and stimulant medications that are used to treat ADHD both increase dopamine release, improving measures of attention.^{20,21} These observations suggest the hypothesis that ADHD may be a causal risk factor for nicotine dependence and that effective treatment of ADHD may promote abstinence.

The National Drug Abuse Clinical Trials Network therefore conducted a placebo-controlled trial²² of osmotic-release oral system (OROS) methylphenidate for treatment of co-occurring nicotine dependence and ADHD. Disappointingly, the primary outcome analysis, while showing the expected beneficial effect of OROS methylphenidate on ADHD symptoms, failed to show an effect on smoking.²² However, since the underlying hypothesis is that the ADHD is driving the smoking, the smoking might be expected to respond only among those patients with greater improvement in ADHD during treatment. Relatedly, response of smoking to stimulant treatment might depend upon greater severity of ADHD. Patients with more ADHD symptoms have more room to improve. Response of psychiatric disorders to treatment often depends on baseline severity,23 as with antidepressant treatment.^{24,25} Greater severity may indicate a more homogeneous form of the disorder, more in need of specific medication treatment. Patients with more severe ADHD are likely to experience greater functional impairment.^{26,27} For them, smoking may be a particularly important coping mechanism, and improvement in ADHD due to treatment correspondingly more valuable in reducing the drive to smoke. We therefore reanalyzed data from the OROS methylphenidate smoking trial²² to evaluate

- Attention-deficit/hyperactivity disorder (ADHD) is prevalent among individuals with nicotine dependence and reduces the likelihood of successfully quitting smoking.
- Treatment of ADHD with stimulant medication may help nicotine-dependent patients quit smoking, particularly if the ADHD is more severe initially and if the ADHD symptoms are substantially improved by treatment.
- Clinicians can help patients who are seeking to quit smoking by screening for and treating ADHD and optimizing ADHD treatment response (adjusting doses or changing medications if needed) before initiating a quit attempt with nicotine replacement.

the hypotheses that OROS methylphenidate would promote smoking abstinence, compared to placebo, among those patients with greater ADHD severity at baseline or greater response of ADHD symptoms to treatment.

METHOD

Participants

The original randomized, double-blind, 11-week trial²² was conducted by the National Drug Abuse Clinical Trials Network at 6 sites (Cambridge, Massachusetts; Columbus, Ohio; New York, New York [2 sites]; Portland, Oregon; and Rochester, Minnesota) between December 2005 and January 2008. Institutional review boards at each site approved the study, and all participants gave written informed consent. The study methods are described in detail elsewhere.²² The trial was registered with ClinicalTrials.gov (identifier: NCT00253747).

For the current secondary analysis, eligible participants (N = 255) were smokers seeking to quit smoking; were 18–55 years of age; were in good physical health; smoked \geq 10 cigarettes daily, with an expired carbon monoxide level \geq 8 ppm; met *DSM-IV* criteria for ADHD, as assessed by structured diagnostic interview with the Adult ADHD Clinical Diagnostic Scale, version 1.2²⁸; and had an ADHD Rating Scale-IV²⁹ score \geq 22. Prospective participants were excluded for current *DSM-IV* diagnoses of abuse of or dependence on any substance other than nicotine; positive urine screen for any illicit drug; other current psychiatric disorders; medical contraindications to OROS methylphenidate; or a history of nonresponse to methylphenidate.

Procedures

The original study²² was an 11-week, double-blind, placebo-controlled trial of OROS methylphenidate (n = 127) versus matching placebo (n = 128), with stratification by site. OROS methylphenidate was titrated to a target dose of 72 mg/d during the first 2 study weeks. Participants were asked to set a quit date during the fourth week of the trial and to use 21-mg nicotine patches daily through the remainder of the trial. Brief, individual weekly counseling was provided according to the Mayo Clinic Nicotine Research Program's *Smoke Free and Living It* manual.³⁰

Assessment

Daily abstinence from cigarettes during the study was assessed weekly using the timeline follow-back method,³¹ verified by carbon monoxide levels < 8 ppm.³² The primary outcome measure was *prolonged abstinence*, defined as tobacco abstinence during study weeks 7–10 without treatment failure, with *failure* defined as any 7 consecutive days of smoking on at least 1 day in 2 or more consecutive weeks. This outcome is consistent with US Food and Drug Administration standards for approving smoking cessation medications.³³

Severity of ADHD symptoms was measured at baseline and at 2, 4, and 6 weeks after the target quit date (trial weeks 7, 9, and 11) with the ADHD Rating Scale-IV (ADHD-RS),²⁹ an interviewer-rated scale,²⁸ with scores ranging from 0 to 54. Change in ADHD symptoms during the trial was calculated as the difference in ADHD-RS scores between baseline and end of treatment. Severity of nicotine dependence was measured with the Fagerström test.³⁴

Data Analysis

For descriptive purposes, the baseline ADHD-RS scores and the ADHD-RS change scores were split into quartiles, and the quartiles were compared on baseline demographic and clinical features using χ^2 tests and analyses of variance. Logistic regression models were fit, modeling prolonged smoking abstinence as a function of medication treatment assignment, baseline ADHD-RS score, ADHD-RS change score, and their interactions, controlling for number of cigarettes smoked per day at baseline and clinical sites. Interactions of cigarettes smoked per day at baseline with the other terms in these models were not found to be significant and were not included in the final models. The above analyses used the sample of all 255 randomized patients. To examine interaction effects, the observed proportions of patients who achieved prolonged abstinence with OROS methylphenidate and placebo were calculated within the subsamples represented by the quartiles of baseline ADHD-RS scores and ADHD-RS change scores; pairwise contrasts between treatments within quartiles were tested with χ^2 . Of the 255 patients who were randomized, 36 (14%) did not complete the trial and were missing data at the end of the study. These missing data were imputed by coding missing study days as nonabstinent and by coding missing ADHD-RS scores as the most recent previous ADHD-RS score available.

RESULTS

Sample Characteristics

Of 3,865 prospective participants who were prescreened, 255 (7%) met eligibility criteria, gave informed consent, and were randomized. Overall, the sample was 56% male, was predominantly white (80%), and had a mean age of 38 years. The mean Fagerström score was 5.54 (standard deviation = 2.21), reflecting a medium level of nicotine dependence. Patients smoked, on average, a pack of cigarettes per day. As previously reported, baseline features

Variable	Quartile 1: Baseline ADHD-RS Score ≤ 30 (n=61)	Quartile 2: Baseline ADHD-RS Score of 31–36 (n=68)	Quartile 3: Baseline ADHD-RS Score of $37-41$ (n = 58)	Quartile 4: Baseline ADHD-RS Score \geq 42 (n = 68)	χ^2 (for categorical variables) or <i>F</i> (for continuous variables)	P
ADHD-RS score at baseline.	27.08 (2.49)	33.21 (1.65)	38.91 (1.32)	45.65 (3.15)	variables)	1
mean (SD)						
ADHD combined subtype (hyperactive + inattentive), %	31.67	63.24	75.86	89.71	51.10	<.0001
Change in ADHD-RS score between baseline and end of study, mean (SD)	10.56 (9.69)	9.93 (12.88)	19.70 (11.80)	20.20 (13.48)	11.82	<.0001
Other baseline features						
Demographics						
Gender, male (vs female), %	60.66	66.18	56.90	42.65	8.33	<.05
Age, mean (SD), y	38.36 (9.79)	39.14 (9.98)	36.75 (10.69)	36.86 (9.58)	0.87	.46
Race, white (vs not), %	77.05	85.07	77.19	79.41	1.69	.64
Education, mean (SD), y	13.93 (2.33)	15.07 (2.24)	14.71 (2.43)	13.97 (2.46)	3.65	<.05
Marital status, %					11.09	.09
Married	47.54	35.29	29.31	23.53		
Divorced or separated	13.11	22.06	22.41	30.88		
Never married	39.34	42.65	48.28	45.59		
Nicotine dependence, mean (SD)						
Cigarettes smoked daily	20.46 (8.02)	20.68 (7.27)	18.86 (7.20)	20.51 (8.23)	0.73	.54
Nicotine dependence	5.49 (2.27)	5.57 (2.15)	5.16 (2.17)	5.87 (2.25)	1.10	.35
(Fagerström score)						
Psychiatric comorbidity, %						
Major depression	21.31	42.65	29.31	39.71	8.22	<.05
Anxiety disorders	26.23	29.41	39.66	39.71	4.10	.25
Alcohol dependence	26.23	30.88	29.31	27.94	0.37	.95
Drug dependence	21.31	16.18	31.03	20.59	4.20	.24
Abbreviations: ADHD = attention-	deficit/hyperactivity d	isorder, ADHD-RS=A	DHD Rating Scale-IV.			

Table 1. ADHD Symptom Severity (ADHD-RS scores) and Associated Factors Among Patients With Co-Occurring ADHD and Nicotine Dependence (N = 255)

did not differ between treatment groups, and medication adherence was high.²²

Baseline Severity of ADHD Symptoms

Table 1 shows ADHD severity at baseline, divided into quartiles of ADHD-RS scores, and associations with other baseline demographic and clinical features and with change in ADHD-RS scores during the trial. As can be seen, there was substantial variation in baseline ADHD-RS scores, ranging from the 20s in the lowest quartile, representing mild to moderate ADHD, to scores in the 40s and above in the highest quartile, representing severe ADHD. Several associations are evident between baseline ADHD severity and gender (there were more women among the higher quartiles of baseline ADHD-RS scores), major depression, and education level. Baseline ADHD-RS score was strongly associated with improvement in ADHD during the trial, with the top 2 quartiles of baseline ADHD severity showing more improvement.

Change in ADHD Symptoms During Treatment

Table 2 shows the improvement in ADHD symptoms experienced during the trial, divided into quartiles of ADHD-RS change scores. As can be seen, the degree of improvement varied substantially, from little or no change in the bottom quartile to a mean improvement of 30.53 points, or a 76.36% reduction, in the top quartile. Again, the only baseline feature strongly associated with level of improvement in ADHD was the severity of ADHD (ADHD-RS score) at baseline. The top quartile (most ADHD improvement) had higher ADHD-RS severity scores at baseline.

Smoking Outcome as a Function of Treatment and ADHD Symptoms

Logistic regression, which modeled prolonged abstinence as a function of treatment alone plus covariates (clinical site, cigarettes smoked per day at baseline), confirmed the prior finding²² of no significant main effect of treatment on smoking outcome (adjusted odds ratio = 1.06; 95% CI, 0.63-1.79; $\chi^2_1 = 0.05$; P = .82).

When abstinence was modeled as a function of treatment, baseline ADHD severity (ADHD-RS score), and covariates, the interaction of baseline ADHD severity with treatment was significant (χ^2_1 = 6.58; *P* = .01). Figure 1 displays the raw proportions of patients who achieved prolonged abstinence by treatment group and quartile of baseline severity. As can be seen, the interaction follows a crisscross pattern. Among patients with higher baseline severity (the top 2 quartiles), OROS methylphenidate produced higher abstinence rates than placebo, consistent with what was hypothesized. Combining the top 2 quartiles of baseline ADHD severity, the abstinence rate was 55% (35/64) for treatment with OROS methylphenidate, compared to 34% (21/62) for placebo (χ^2_1 = 5.53; *P* = .02). In contrast, in the lowest quartile of ADHD severity, the opposite was observed, namely that OROS methylphenidate produced lower abstinence rates than placebo.

Table 2. Improvement in ADHD Symptoms During Treatment With OROS Methylphenidate (n = 127) or Placebo (n = 128) and
Associated Factors Among Patients With Co-Occurring ADHD and Nicotine Dependence ($N = 255$) ^a

		Quartile 2:	Quartile 3:	Quartile 4:		
	Quartile 1:	Small	Moderate	Large		
	No Improvement,	Improvement,	Improvement,	Improvement,	χ^2 (for categorical	
	ADHD-RS Change	ADHD-RS Change	ADHD-RS Change	ADHD-RS Change	variables) or F	
	Score ≤4	Score of 5–13	Score of 14-23	Score ≥ 24	(for continuous	
Variable	(n = 62)	(n = 66)	(n = 63)	(n = 64)	variables)	P
ADHD-RS score at end of study, mean (SD)	37.53 (8.04)	26.14 (8.26)	16.65 (7.03)	10.02 (6.62)		
Change in ADHD-RS score between baseline and end of study, mean (SD)	-2.77 (5.98)	9.03 (2.78)	18.27 (2.89)	30.53 (5.64)		
Percent improvement in ADHD-RS score, mean (SD)	-8.81 (19.33)	26.92 (10.12)	54.06 (12.14)	76.36 (14.07)		
Baseline features						
ADHD-RS score at baseline, mean (SD)	34.76 (6.50)	35.17 (7.72)	34.92 (7.04)	40.55 (6.25)	10.51	<.001
Demographics						
Gender, male (vs female), %	50.00	71.21	49.21	54.69	8.33	.04
Age, mean (SD), y	36.54 (10.35)	36.28 (10.74)	38.93 (9.99)	39.48 (8.53)	0.89	.45
Race, white (vs not), %	78.69	81.82	80.65	78.13	0.35	.95
Education, mean (SD), y	14.94 (2.36)	14.21 (2.30)	13.90 (1.72)	14.66 (2.99)	2.32	.08
Marital status, %					7.75	.26
Married	33.87	33.33	34.92	32.81		
Divorced or separated	24.19	16.67	15.87	32.81		
Never married	41.94	50.00	49.21	34.38		
Nicotine dependence, mean (SD)						
Cigarettes smoked daily	19.87 (7.01)	20.55 (7.38)	20.49 (8.41)	19.75 (8.01)	0.18	.91
Nicotine dependence	5.52 (2.12)	5.44 (2.27)	5.75 (2.33)	5.44 (2.15)	0.27	.85
(Fagerström score)						
Psychiatric comorbidity, %						
Major depression	37.10	31.82	34.92	31.25	0.64	.89
Anxiety disorders	27.42	39.39	41.27	26.56	5.13	.16
Alcohol dependence	24.19	28.79	25.40	35.94	2.59	.46
Drug dependence	16.13	18.18	28.57	25.00	3.73	.29

^aADHD-RS change scores were calculated as the ADHD-RS score at baseline minus the score at the end of the study, and the change scores were split into quartiles.

Abbreviations: ADHD = attention-deficit/hyperactivity disorder, ADHD-RS = ADHD Rating Scale-IV, OROS methylphenidate = osmotic-release oral system methylphenidate.

When abstinence was modeled as a function of treatment, change in ADHD severity during treatment, and covariates, the interaction of treatment by ADHD change score was also significant (χ^2_1 =7.05; *P*=.008). As can be seen in Figure 2, the interaction again followed a crisscross pattern, with more abstinence with OROS methylphenidate treatment than with placebo in the top quartile (most improvement) and the opposite trend in the lower quartiles.

In an effort to understand the relative contributions of baseline ADHD severity and change in ADHD severity during treatment, smoking abstinence rates across quartiles of ADHD change scores were examined in the half of the sample with higher baseline ADHD severity (Figure 3), for which, as shown above, OROS methylphenidate significantly increased the likelihood of smoking abstinence. Inspection of Figure 3 shows higher rates of abstinence on OROS methylphenidate treatment, compared to placebo, when there is more improvement in ADHD during treatment, and the difference reaches significance in the highest quartile of ADHD improvement (OROS methylphenidate: 70.0% (21/30); placebo: 36.8% (7/19); $\chi^2_1 = 5.22$; P = .02). This pattern suggests that the subgroup of smokers with ADHD who responded to OROS methylphenidate was characterized by a combination of both higher baseline

ADHD severity and greater improvement of ADHD symptoms during treatment.

DISCUSSION

Among patients with nicotine dependence and ADHD who were being treated with a nicotine patch and counseling, a beneficial effect of OROS methylphenidate on smoking outcome was observed among those patients who had greater ADHD severity at baseline and the most improvement in ADHD symptoms during treatment. Thus, stimulant treatment of ADHD may be an effective adjunct to standard smoking cessation therapy in a subgroup of smokers with greater ADHD severity and robust response of ADHD symptoms. This result resembles findings from studies^{7,9,35-37} of treatment that targeted co-occurring mood or anxiety disorders among drug- or alcohol-dependent patients, which showed that improvement in depression or anxiety in response to treatment was associated with better substance use outcomes. The primary outcome analysis of the trial²² did examine patients with at least a 30% reduction in ADHD symptoms but did not find an effect of OROS methylphenidate on smoking within that subgroup.²² The findings here suggest that at least a 60% reduction in ADHD symptoms during treatment, or a moderately severe





^aRange of baseline ADHD-RS scores that defined each quartile (no. of patients in each quartile). ^bTests for pairwise contrasts between treatment groups within each quartile. Abbreviations: ADHD = attention-deficit/hyperactivity disorder, ADHD-RS = ADHD Rating Scale-IV, OROS methylphenidate = osmotic-release oral system methylphenidate.

0.55

47

3 24

07

2.77

10

5.68

02

level of symptoms at baseline, may be needed for OROS methylphenidate to impact smoking outcome.

Р

The observed pattern is consistent with either a shared diathesis model or a self-medication model of the co-occurrence of ADHD and smoking. A shared diathesis model would hold that both ADHD and nicotine dependence derive, in part, from a common underlying dysfunction in the dopamine system,³⁸ which might be addressed by stimulant medication. Consistent with a shared diathesis, we recently showed in the same dataset that ADHD severity and nicotine withdrawal severity are strongly associated during treatment.³⁹ The self-medication model would hold that those with ADHD are drawn to nicotine because it affords some temporary improvement in attention and functioning. Greater severity of ADHD might indicate a stronger influence of the diathesis on smoking (again, perhaps reflected in measures of smoking severity such as withdrawal), greater functional impairment that interferes with efforts to stop smoking, or a stronger drive to self-medicate, leading either way to a greater sensitivity of smoking to stimulant treatment. Improvement of ADHD symptoms with treatment could signal correction of the underlying diathesis, or a reduced drive to selfmedicate, sufficient to support abstinence. Future research should examine temporal sequences of improvement in ADHD symptoms, quit attempts, nicotine withdrawal, and craving in an effort to disentangle the relationships between ADHD and smoking and their responses to treatment.

The data also suggest that OROS methylphenidate is a 2-edged sword, reducing the likelihood of quitting smoking among smokers with lower severity of ADHD at baseline. This finding was a surprise but is consistent with human laboratory studies⁴⁰⁻⁴⁵ that have shown that methylphenidate or dextroamphetamine administration increased nicotine self-administration in volunteers who were not seeking treatment for smoking or who were not selected for ADHD. Several features of this phenomenon are of interest, including the following: (1) there is individual variabilitysome smokers demonstrate increased self-administration of nicotine in the presence of amphetamine, and others do $not^{41,45}$; (2) those who increase smoking seem to experience more positive subjective effects from amphetamine⁴⁵; and (3) the effect appears to be dose-dependent-selfadministration of nicotine increases with an increasing dose of amphetamine. Perhaps the smokers with ADHD who have lower baseline ADHD severity and whose smoking responds poorly to stimulant pharmacotherapy are more sensitive to the effects of stimulants and might do better on lower stimulant doses or on a nonstimulant ADHD treatment. In any case, a stimulant trial for ADHD takes a matter of weeks to optimize, and, if ADHD and smoking have not responded, the medication can be discontinued and another treatment tried. Thus, from a clinical perspective, the risk of worsening smoking for a few weeks during a therapeutic trial of a stimulant may be worth taking in return for the chance of achieving abstinence from smoking should the response be good.

The findings need to be considered in light of both the strengths and the limitations of the study. The strengths

Figure 2. Improvement in ADHD Symptoms During Treatment^a and the Observed Percentages of Patients With Co-Occurring ADHD and Nicotine Dependence (N = 255) Who Achieved Prolonged Abstinence From Smoking



	Quartile 1:	Quartile 2:	Quartile 3:	Quartile 4:
	No	Small	Moderate	Large
	Improvement,	Improvement,	Improvment,	Improvment,
	Change	Change	Change	Change
Prolonged Abstinence	Score ^a \leq 4	Score ^a of 5–13	Score ^a of 14–23	Score ^a ≥ 24
by Treatment Group	(n = 62)	(n = 66)	(n = 63)	(n = 64)
Placebo, % (n)	34.9 (43)	46.3 (41)	47.4 (19)	44.0 (25)
OROS methylphenidate, % (n)	26.3 (19)	20.0 (25)	43.2 (44)	66.7 (39)
Chi-square ^b	0.44	4.66	0.09	3.21
Р	.51	.03	.76	.07

^aADHD-RS change scores were calculated as ADHD-RS score at baseline minus score at end of study; values shown are the range of change scores within each quartile (no. of patients per quartile). ^bTests for pairwise contrasts between treatment groups within each quartile. Abbreviations: ADHD = attention-deficit/hyperactivity disorder, ADHD-RS = ADHD Rating Scale-IV, OROS methylphenidate = osmotic-release oral system methylphenidate.

include the placebo-controlled design, careful diagnostic assessment of ADHD, good treatment adherence, and a low dropout rate. The sample consisted of cigarette smokers with clear-cut ADHD and without other current drug or alcohol use disorders. While these eligibility criteria restrict generalizability to a small fraction of all smokers, they avoided heterogeneity that could have obscured the effects of treatment. The sample size was sufficient to have a chance of detecting interactions. However, the subsamples in which treatment effects were detected were smaller. Thus, the estimates of the size of the effects and of the thresholds that defined the responsive subgroup are of limited precision and call for replication. The ADHD change scores were chosen as a simple summary outcome for purposes of relating ADHD outcome to smoking outcome. Change scores have psychometric weaknesses, particularly dependence on baseline scores; however, the fact that the effect of ADHD improvement by treatment seems to reside among the patients with greater ADHD severity at baseline mitigates this concern. This study was a secondary analysis, although based upon the underlying hypothesis of the study. This trial examined abstinence from smoking at the end of an acute trial. Future trials should address whether ongoing treatment of ADHD helps sustain abstinence from smoking or reduce relapse over the long term.

A previous exploratory analysis⁴⁶ suggested a greater effect of OROS methylphenidate in the small subgroup of ethnic minorities. Minority status was not associated with either baseline ADHD severity or change in ADHD severity during treatment. There is little evidence to suggest that the impact of stimulant treatment on ADHD symptoms depends on ethnicity. A previous secondary analysis⁴⁷ suggested that OROS methylphenidate was effective among smokers who had both the combined (hyperactive and inattentive) subtype of ADHD and greater severity of nicotine dependence. This finding is consistent with the present analysis in that the combined subtype was associated with greater ADHD severity (see Table 1). In future research on stimulant treatment of co-occurring ADHD and smoking, further attention is needed on ethnicity and on severity of nicotine dependence.

The immediate clinical significance of the present findings is that identification and treatment of ADHD should be considered among the treatment options for a smoker who wants to quit. Further, the emphasis should be on forging a substantial improvement in ADHD symptoms, exploring the dose range for medication, and trying alternative treatments should the initial treatment fail. It may also make sense to wait until treatment of ADHD is optimized before making the quit attempt. Patients may vary in regard to both the particular medication and the dose that best treats their ADHD and/or smoking. For example, the Multimodal Treatment Study of Children with ADHD⁴⁸ showed the superiority of an adaptive algorithm of frequent assessment and treatment adjustment as compared to community care among children with ADHD. The maximum dose in the present study, 72 mg/d of OROS Figure 3. Among Patients (n = 126) Who Had Higher ADHD Severity at Baseline (ADHD-RS score > 36), Observed Percentages Are Shown of Those Who Achieved Prolonged Abstinence From Smoking as a Function of Improvement in ADHD During Treatment



	No	Small	Moderate	Large
	Improvement,	Improvement,	Improvment,	Improvment,
	Change	Change	Change	Change
Prolonged Abstinence	Score ^a \leq 4	Score ^a of 5–13	Score ^a of 14–23	Score ^a \ge 24
by Treatment Group	(n = 23)	(n = 27)	(n = 27)	(n = 49)
Placebo, % (n)	23.5 (17)	44.4 (18)	25.0 (8)	36.8 (19)
OROS methylphenidate, % (n)	33.3 (6)	33.3 (9)	47.4 (19)	70.0 (30)
Chi-square ^b	0.22	0.31	1.17	5.22
Р	1.17	.58	.28	.02

^aADHD-RS change scores were calculated as ADHD-RS score at baseline minus score at end of study; values shown are the range of change scores within each quartile (no. of patients per quartile). ^bTests for pairwise contrasts between treatment groups within each quartile. Abbreviations: ADHD = attention-deficit/hyperactivity disorder, ADHD-RS = ADHD Rating Scale-IV, OROS methylphenidate = osmotic-release oral system methylphenidate.

methylphenidate, is the maximum dose approved by the US Food and Drug Administration. However, the optimal dose for a given individual may vary. Some patients may require higher doses, while some may fare better on lower doses or alternative treatments.

The findings of this study have methodological implications for studies that test the strategy of identifying and treating a co-occurring psychiatric disorder (ADHD in this case) so as to impact a substance use disorder. Given the potential importance of baseline severity of the psychiatric disorder and its improvement during treatment, experimental designs and analytic plans are needed that incorporate severity and improvement a priori as moderator and/or mediator.^{23,24,49} Further, rather than testing, for example, a single ADHD medication at a fixed target dose, future studies might test adaptive approaches that systematically evaluate response, optimizing the dose of medication and switching treatments if response is suboptimal in order to drive ADHD symptoms not just toward partial improvement but toward substantial improvement or remission. Nicotine and other substance dependencies can be difficult to treat. The identification and aggressive treatment of ADHD and other comorbidities hold out the promise of a treatment strategy complementary to existing medication and behavioral approaches.

Drug names: bupropion (Wellbutrin, Aplenzin, and others), dextroamphetamine (Dexedrine and others), methylphenidate (Focalin, Daytrana, and others), OROS methylphenidate (Concerta), varenicline (Chantix).

Author affiliations: Department of Psychiatry, Columbia University (Drs Nunes, Covey, Hu, and Levin), and New York State Psychiatric Institute (Drs Nunes, Covey, and Levin), New York, New York; Maryhaven Inc, Columbus, Ohio (Dr Brigham); Department of Psychiatry and Behavioral Neuroscience, University of Cincinnati College of Medicine (Drs Brigham, Somoza, and Winhusen), and Cincinnati Veterans Affairs Medical Center (Dr Somoza), Cincinnati, Ohio.

Author contributions: Dr Nunes had full access to all the data in the study and takes responsibility for the integrity of the data and the accuracy of the data analysis. The authors alone are responsible for the content and writing of the article.

Potential conflicts of interest: Dr Nunes has been a consultant for Eli Lilly and has received medication for research studies from Alkermes/Cephalon. Dr Levin has been a consultant for GW Pharmaceuticals and has received medication for a clinical trial from US WorldMeds. Drs Covey, Brigham, Hu, Somoza, and Winhusen report no competing interests. Funding/support: Supported by National Institute on Drug Abuse (NIDA) Clinical Trials Network grants U10 DA013035 (Dr Nunes) and U10 DA013732 (Drs Winhusen and Somoza) and by NIDA grants K24 DA022412 (Dr Nunes), K24 DA029647 (Dr Levin), and K23 DA021512 (Dr Brigham).

REFERENCES

- Compton WM, Thomas YF, Stinson FS, et al. Prevalence, correlates, disability, and comorbidity of DSM-IV drug abuse and dependence in the United States: results from the National Epidemiologic Survey on Alcohol and Related Conditions. Arch Gen Psychiatry. 2007;64(5):566–576.
- Hasin DS, Stinson FS, Ogburn E, et al. Prevalence, correlates, disability, and comorbidity of *DSM-IV* alcohol abuse and dependence in the United States: results from the National Epidemiologic Survey on Alcohol and Related Conditions. *Arch Gen Psychiatry*. 2007;64(7):830–842.
- O'Brien CP. Review: evidence-based treatments of addiction. *Philos Trans R* Soc Lond B Biol Sci. 2008;363(1507):3277–3286.
- Quitkin FM, Rifkin A, Kaplan J, et al. Phobic anxiety syndrome complicated by drug dependence and addiction: a treatable form of drug abuse. *Arch Gen Psychiatry*. 1972;27(2):159–162.

- 5. Khantzian EJ. The self-medication hypothesis of addictive disorders: focus on heroin and cocaine dependence. *Am J Psychiatry*. 1985;142(11):1259–1264.
- Brady KT, Sinha R. Co-occurring mental and substance use disorders: the neurobiological effects of chronic stress. *Am J Psychiatry*. 2005;162(8): 1483–1493.
- Nunes EV, Levin FR. Treatment of depression in patients with alcohol or other drug dependence: a meta-analysis. JAMA. 2004;291(15):1887–1896.
- 8. Torrens M, Fonseca F, Mateu G, et al. Efficacy of antidepressants in substance use disorders with and without comorbid depression: a systematic review and meta-analysis. *Drug Alcohol Depend*. 2005;78(1):1–22.
- Hobbs JD, Kushner MG, Lee SS, et al. Meta-analysis of supplemental treatment for depressive and anxiety disorders in patients being treated for alcohol dependence. *Am J Addict*. 2011;20(4):319–329.
- 10. Benowitz NL. Nicotine addiction. N Engl J Med. 2010;362(24):2295-2303.
- Fiore MC, Jaén CR, Baker TB, et al. *Treating Tobacco Use and Dependence:* 2008 Update. Rockville, MD: US Department of Health and Human Services, Public Health Service; 2008.
- Covey LS, Glassman AH, Jiang H, et al. A randomized trial of bupropion and/ or nicotine gum as maintenance treatment for preventing smoking relapse. *Addiction*. 2007;102(8):1292–1302.
- Croghan IT, Hurt RD, Dakhil SR, et al. Randomized comparison of a nicotine inhaler and bupropion for smoking cessation and relapse prevention. *Mayo Clin Proc.* 2007;82(2):186–195.
- 14. Kessler RC, Adler L, Barkley R, et al. The prevalence and correlates of adult ADHD in the United States: results from the National Comorbidity Survey Replication. *Am J Psychiatry*. 2006;163(4):716–723.
- Kollins SH, McClernon FJ, Fuemmeler BF. Association between smoking and attention-deficit/hyperactivity disorder symptoms in a population-based sample of young adults. *Arch Gen Psychiatry*. 2005;62(10):1142–1147.
- Covey LS, Manubay J, Jiang H, et al. Smoking cessation and inattention or hyperactivity/impulsivity: a post hoc analysis. *Nicotine Tob Res.* 2008; 10(12):1717–1725.
- Humfleet GL, Prochaska JJ, Mengis M, et al. Preliminary evidence of the association between the history of childhood attention-deficit/hyperactivity disorder and smoking treatment failure. *Nicotine Tob Res*. 2005;7(3):453–460.
- Pomerleau OF, Downey KK, Stelson FW, et al. Cigarette smoking in adult patients diagnosed with attention deficit hyperactivity disorder. J Subst Abuse. 1995;7(3):373–378.
- Wilens TE, Adamson J, Monuteaux MC, et al. Effect of prior stimulant treatment for attention-deficit/hyperactivity disorder on subsequent risk for cigarette smoking and alcohol and drug use disorders in adolescents. *Arch Pediatr Adolesc Med.* 2008;162(10):916–921.
- Conners CK, Levin ED, Sparrow E, et al. Nicotine and attention in adult attention deficit hyperactivity disorder (ADHD). *Psychopharmacol Bull*. 1996;32(1):67–73.
- Wilens TE, Spencer TJ, Biederman J. A review of the pharmacotherapy of adults with attention-deficit/hyperactivity disorder. J Atten Disord. 2002; 5(4):189–202.
- Winhusen TM, Somoza EC, Brigham GS, et al. Impact of attention-deficit/ hyperactivity disorder (ADHD) treatment on smoking cessation intervention in ADHD smokers: a randomized, double-blind, placebo-controlled trial. *J Clin Psychiatry*. 2010;71(12):1680–1688.
- Nunes ÉV, Pavlicova M, Hu MC, et al. Baseline matters: the importance of covariation for baseline severity in the analysis of clinical trials. *Am J Drug Alcohol Abuse*. 2011;37(5):446–452.
- 24. Klein DF, Ross DC. Reanalysis of the National Institute of Mental Health Treatment of Depression Collaborative Research Program general effectiveness report. *Neuropsychopharmacology*. 1993;8(3):241–251.
- Fournier JC, DeRubeis RJ, Hollon SD, et al. Antidepressant drug effects and depression severity: a patient-level meta-analysis. JAMA. 2010;303(1):47–53.
- Hesse M. Course of self-reported symptoms of attention deficit and hyperactivity in substance abusers during early treatment. *Addict Behav*. 2010;35(5):504–506.
- 27. Safren SA, Sprich SE, Cooper-Vince C, et al. Life impairments in adults with medication-treated ADHD. *J Atten Disord*. 2010;13(5):524–531.

- Adler LA, Cohen J. Diagnosis and evaluation of adults with attention-deficit/ hyperactivity disorder. *Psychiatr Clin North Am.* 2004;27(2):187–201.
- DuPaul GJ, Power TJ, Anastopoulos AD, et al. ADHD Rating Scale-IV: Checklists, Norms, and Clinical Interpretation. New York, NY: Guilford Press; 1998.
- Croghan IT, Trautman JA, Winhusen T, et al. Tobacco dependence counseling in a randomized multisite clinical trial. *Contemp Clin Trials*. 2012;33(4):576–582.
- Sobell LC, Sobell MB. Timeline follow-back: a technique for assessing selfreported ethanol consumption. In: Allen J, Litten R, eds. *Techniques to Assess Alcohol Consumption*. Totowa, NJ: Humana Press; 1992.
- Jarvis MJ, Tunstall-Pedoe H, Feyerabend C, et al. Comparison of tests used to distinguish smokers from nonsmokers. *Am J Public Health*. 1987;77(11): 1435–1438.
- Hughes JR, Keely JP, Niaura RS, et al. Measures of abstinence in clinical trials: issues and recommendations. *Nicotine Tob Res.* 2003;5(1):13–25.
- Heatherton TF, Kozlowski LT, Frecker RC, et al. The Fagerström Test for Nicotine Dependence: a revision of the Fagerström Tolerance Questionnaire. *Br J Addict*. 1991;86(9):1119–1127.
- McGrath PJ, Nunes EV, Stewart JW, et al. Imipramine treatment of alcoholics with primary depression: a placebo-controlled clinical trial. *Arch Gen Psychiatry*. 1996;53(3):232–240.
- Nunes EV, Quitkin FM, Donovan SJ, et al. Imipramine treatment of opiatedependent patients with depressive disorders: a placebo-controlled trial. Arch Gen Psychiatry. 1998;55(2):153–160.
- Hien DA, Jiang H, Campbell AN, et al. Do treatment improvements in PTSD severity affect substance use outcomes? a secondary analysis from a randomized clinical trial in NIDA's Clinical Trials Network. *Am J Psychiatry*. 2010;167(1):95–101.
- Volkow ND, Wang GJ, Newcorn J, et al. Depressed dopamine activity in caudate and preliminary evidence of limbic involvement in adults with attention-deficit/hyperactivity disorder. *Arch Gen Psychiatry*. 2007;64(8): 932–940.
- Berlin I, Hu MC, Covey LS, et al. Attention-deficit/hyperactivity disorder (ADHD) symptoms, craving to smoke, and tobacco withdrawal symptoms in adult smokers with ADHD. Drug Alcohol Depend. 2012;124(3):268–273.
- Henningfield JE, Griffiths RR. Cigarette smoking and subjective response: effects of d-amphetamine. Clin Pharmacol Ther. 1981;30(4):497–505.
- Tidey JW, O'Neill SC, Higgins ST. d-Amphetamine increases choice of cigarette smoking over monetary reinforcement. *Psychopharmacology* (*Berl*). 2000;153(1):85–92.
- Cousins MS, Stamat HM, de Wit H. Acute doses of *d*-amphetamine and bupropion increase cigarette smoking. *Psychopharmacology (Berl)*. 2001; 157(3):243–253.
- Rush CR, Higgins ST, Vansickel AR, et al. Methylphenidate increases cigarette smoking. Psychopharmacology (Berl). 2005;181(4):781–789.
- Vansickel AR, Stoops WW, Glaser PE, et al. A pharmacological analysis of stimulant-induced increases in smoking. *Psychopharmacology (Berl)*. 2007;193(3):305–313.
- Sigmon SC, Tidey JW, Badger GJ, et al. Acute effects of D-amphetamine on progressive-ratio performance maintained by cigarette smoking and money. *Psychopharmacology (Berl)*. 2003;167(4):393–402.
- Covey LS, Hu MC, Winhusen T, et al. OROS-methylphenidate or placebo for adult smokers with attention deficit hyperactivity disorder: racial/ethnic differences. Drug Alcohol Depend. 2010;110(1–2):156–159.
- Covey LS, Hu MC, Weissman J, et al. Divergence by ADHD subtype in smoking cessation response to OROS-methylphenidate. *Nicotine Tob Res.* 2011;13(10):1003–1008.
- The Multimodal Treatment Study of Children with ADHD (MTA) Cooperative Group. A 14-month randomized clinical trial of treatment strategies for attention-deficit/hyperactivity disorder. *Arch Gen Psychiatry*. 1999;56(12):1073–1086.
- Pocock SJ, Assmann SE, Enos LE, et al. Subgroup analysis, covariate adjustment and baseline comparisons in clinical trial reporting: current practice and problems. *Stat Med.* 2002;21(19):2917–2930.